

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 6

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	Vu, David

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
W		"Amended Assignment and Bill of Sale", <u>Guthrie Exhibit 2148, Board of Patent Appeals and Interferences (Interference No. 105.393), (January 12, 2007), 1 pg.</u>	
↑		"Chapter 6.3 - Rectangular Waveguide Cavities in: Microwave Engineering, Pozar, D. M., Editor (John Wiley & Sons, Inc.)", <u>Guthrie Exhibit 2143, Board of Patent Appeals and Interferences (Interference No. 105.393), 313-318</u>	
		"Collection of Correspondence", <u>Guthrie Exhibit 2163, Board of Patent Appeals and Interferences (Interference No. 105.393), (2000), 23 pgs.</u>	
		"CoorsTek Engineering Drawings", <u>Guthrie Exhibit 2142, Board of Patent Appeals and Interferences (Interference No. 105.393), (August 10, 2000), 6 pgs.</u>	
		CoorsTek Invoice No. 1016307, <u>Guthrie Exhibit 2140, Board of Patent Appeals and Interferences (Interference No. 105.393), (October 12, 2000), 1 pg.</u>	
		CoorsTek Invoice No. 1017845, <u>Guthrie Exhibit 2141, Board of Patent Appeals and Interferences (Interference No. 105.393), (October 18, 2001), 1 pg.</u>	
		"Decision - Motions - Bd. R. 125(a) (Paper 83)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105.393), (February 27, 2007), 51 pgs.</u>	
		"Decision - On Rehearing - Bd. R. 125(c) (Paper 89)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105.393), (March 20, 2007), 13 pgs.</u>	
		Decision - Rehearing - Bd. R. 127(d) (Paper 94)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105.393), (April 18, 2007), 5 pgs.</u>	
		"Declaration of David Smoler", <u>Guthrie Exhibit 2136, Board of Patent Appeals and Interferences (Interference No. 105.393), (December 5, 2005), 17 pgs.</u>	
		"Declaration of Donald M. Wilson (Corrected)", <u>Espiau Exhibit 1106, Board of Patent Appeals and Interferences (Interference No. 105.393), (December 12, 2005), 3 pgs.</u>	
		"Declaration of Tim R. Russell", <u>Guthrie Exhibit 2145, Board of Patent Appeals and Interferences (Interference No. 105.393), (February 1, 2006), 3 pgs.</u>	
		"Draft of Charles Guthries' Expense Report", <u>Guthrie Exhibit 2192, Board of Patent Appeals and Interferences (Interference No. 105.393), (May 10, 2000), 2 pgs.</u>	
		"E-Mails between C. Guthrie and C. Lee", <u>Guthrie Exhibit 2167, Board of Patent Appeals and Interferences (Interference No. 105.393), (2000), 6 pgs.</u>	
		"E-Mails Between T. Russell and T. Kearney", <u>Guthrie Exhibit 2187, Board of Patent Appeals and Interferences (Interference No. 105.393), (2006), 7 pgs.</u>	
✓		"Engineering Sketches (faxed to Coorstek)", <u>Guthrie Exhibit 2137, Board of Patent Appeals and Interferences (Interference No. 105.393), (July 20, 2000), 2 pgs.</u>	
D		"Espiau Declaration of Janet Brant", <u>Espiau Exhibit 1118, Board of Patent Appeals and Interferences (Interference No. 105.393), (May 25, 2007), 3 pgs.</u>	

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DJ		"Espiau Declaration of Matthew A. Argenti", <u>Espiau Exhibit 1121, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 28, 2007),3 pgs.</u>	
AK		"Espiau Declaration of Michael J. Murphy", <u>Espiau Exhibit 1120, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 29, 2007),4 pgs.</u>	
		"Espiau Declaration of Terry Kearney", <u>Espiau Exhibit 1122, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 28, 2007),6 pgs.</u>	
		"Espiau Declaration of W. Benjamin Glenn", <u>Espiau Exhibit 1119, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 28, 2007),8 pgs.</u>	
		"Espiau Miscellaneous Motion 6 (Request for Rehearing of Espiau's Request for Leave to Prove Derivation by Guthrie)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (March 22, 2007),11 pgs.</u>	
		"Espiau Opposition 6", <u>Guthrie, et al. v. Espiau, et al. (Interference No. 105,393), (May 29, 2007),31 pgs.</u>	
		"Guthrie Declaration of Anthony Cooper", <u>Guthrie Exhibit 2184, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2007),6 pgs.</u>	
		"Guthrie Declaration of Dennis R. Nunes", <u>Guthrie Exhibit 2199, Board of Patent Appeals and Interferences (Interference No. 105,393), 3 pgs.</u>	
		"Guthrie Declaration of Floyd Pothoven", <u>Guthrie Exhibit 2183, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 15, 2007),5 pgs.</u>	
		"Guthrie Declaration of Joe Bennett", <u>Guthrie Exhibit 2186, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2007),5 pgs.</u>	
		"Guthrie Declaration of Shelby Katz", <u>Guthrie Exhibit 2144, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 24, 2007),3 pgs.</u>	
		"Guthrie Declaration of Vivek Gandhi", <u>Guthrie Exhibit 2164, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 18, 2007),3 pgs.</u>	
		"Guthrie Fifth Declaration of Madhu S. Gupta, Ph.D.", <u>Guthrie Exhibit 2182, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2007),23 pgs.</u>	
		"Guthrie Miscellaneous Motion 6 (To Compel Testimony of Tim Russell)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (May 23, 2006),23 pgs.</u>	
		"Guthrie Miscellaneous Motion 6 (Request for Rehearing)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (March 13, 2007), 11 pgs.</u>	
DJ		"Guthrie Opposition 6 (Opposing Espiau Motion 6 to Request Rehearing)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (April 3, 2007), 12 pgs.</u>	

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2		"Guthrie Priority Motion 7", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (June 15, 2007), 81 pgs.</u>	
↑		"Guthrie Second Declaration of Charles Guthrie", <u>Guthrie Exhibit 2180, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2007), 12 pgs.</u>	
		"Guthrie Second Declaration of David E. Smoler", <u>Guthrie Exhibit 2150, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 8 pgs.</u>	
		"Guthrie Third Declaration of Donald Wilson", <u>Guthrie Exhibit 2181, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 13, 2007), 22 pgs.</u>	
		"Guthrie Third Declaration of Greg Prior", <u>Guthrie Exhibit 2185, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 15, 2007), 11 pgs.</u>	
		"Guthrie Third Declaration of Nicola Pisano", <u>Guthrie Exhibit 2189, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 23, 2007), 8 pgs.</u>	
		"Manufacturing Order Documentation Form", <u>Guthrie Exhibit 2139, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 3 pgs.</u>	
		"Manufacturing Quote from Coorstek", <u>Guthrie Exhibit 2138, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 27, 2000), 2 pgs.</u>	
		"Petition to Correct Inventorship in U.S. Patent Application Ser. No. 09/818,092, filed March 26, 2001", <u>Guthrie Exhibit 2166, Board of Patent Appeals and Interferences (Interference No. 105,393), (December 22, 2004), 21 pgs.</u>	
		"Photograph of Lighted Half-Wavelength", <u>Guthrie Exhibit 2178, Board of Patent Appeals and Interferences (Interference No. 105,393), (2002), 2 pgs.</u>	
		"Photographs of CoorsTek Full Wavelength Ceramic Waveguide", <u>Guthrie Exhibit 2162, Board of Patent Appeals and Interferences (Interference No. 105,393), 1 pg.</u>	
		"Smoler Voicemail Transcript", <u>Espiau Exhibit 1109, Board of Patent Appeals and Interferences (Interference No. 105,393), (November 21, 2005), 1 pg.</u>	
		"Transcript of Deposition of Tim Russell", <u>Exhibit 2198, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2007), 79 pgs.</u>	
		"Wilson Handwritten Notes", <u>Espiau Exhibit 1107, Board of Patent Appeals and Interferences (Interference No. 105,393), (November 7, 2005), 2 pgs.</u>	
✓		"Wilson Handwritten Notes", <u>Espiau Exhibit 1108, Board of Patent Appeals and Interferences (Interference No. 105,393), (November 15, 2005), 11 pgs.</u>	
2		"Wilson Technical Consulting Agreement", <u>Espiau Exhibit 1105, Board of Patent Appeals and Interferences (Interference No. 105,393), (October 17, 2005), 2 pgs.</u>	

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Sheet 4 of 6

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W		BENNETT, J. , "E-Mail to C. Guthrie Re: Kyocera Quote for Waveguide Samples", <u>Guthrie Exhibit 2176, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 10, 2000), 1 pg.</u>	
↑		BRANT, J. , "E-Mail to Tony McGettigan", <u>Espiau Exhibit 1104, Board of Patent Appeals and Interferences (Interference No. 105,393), (October 20, 2005), 1 pg.</u>	
		GUTHRIE, C. , "Collection of Waveguide Drawings", <u>Guthrie Exhibit 2169, Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 7 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawing - Ceramic Substrate (SMPL004)", <u>Guthrie Exhibit 2171, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 19, 2000), 1 pg.</u>	
		GUTHRIE, C. , "D.R.I. Drawing - Dielectric Test Sample", <u>Guthrie Exhibit 2154, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 26, 2000), 1 pg.</u>	
		GUTHRIE, C. , "D.R.I. Drawing - High Bright Picture Element (WGC006)", <u>Guthrie Exhibit 2170, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2000), 1 pg.</u>	
		GUTHRIE, C. , "D.R.I. Drawing - Wave Guide Lamp Concept 3d (WGC003)", <u>Guthrie Exhibit 2175, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 3, 2000), 1 pg.</u>	
		GUTHRIE, C. , "D.R.I. Drawings - R. F. Connector Details (Versions 1 and 2)", <u>Guthrie Exhibit 2174, Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 2 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawings - Wave Guide Lamp Concept 5 (WGC005); Wave Guide Lamp Concept 6 (WGC 006); and Wave Guide Concept 7 (WGC 007)", <u>Guthrie Exhibit 2172, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 27, 2000), 3 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawings - Wave Guide Lamp Concept 8 (WGC008); Wave Guide Lamp Concept 9 (WGC009); and Wave Guide Lamp Concept 10 (WGC010)", <u>Guthrie Exhibit 2173, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 3 pgs.</u>	
		GUTHRIE, C. , "E-Mail to E. Sandberg, et al. re: Meeting at ITW", <u>Guthrie Exhibit 2179, Board of Patent Appeals and Interferences (Interference No. 105,393), (March 23, 2000), 2 pgs.</u>	
✓		JOSHI, C. , "E-Mail to C. Guthrie", <u>Guthrie Exhibit 2177, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 13, 2000), 1 pg.</u>	
W		RUSSELL, T. , "E-Mail to N. Pisano (Guthrie's Counsel) Re: DRI Patent Application", <u>Guthrie Exhibit 2188, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 19, 2007), 2 pgs.</u>	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;"><i>Complete if Known</i></td> </tr> <tr> <td style="width: 40%;">Application Number</td> <td>10/771,788</td> </tr> <tr> <td>Filing Date</td> <td>February 4, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Espiau, Frederick</td> </tr> <tr> <td>Group Art Unit</td> <td>2821</td> </tr> <tr> <td>Examiner Name</td> <td>Vu, David</td> </tr> </table>	<i>Complete if Known</i>		Application Number	10/771,788	Filing Date	February 4, 2004	First Named Inventor	Espiau, Frederick	Group Art Unit	2821	Examiner Name	Vu, David
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2/		SMOLER, D., "E-Mail to C. Guthrie re: Ridged Waveguide Ideas", <u>Guthrie Exhibit 2149, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 27, 2000), 2 pgs.</u>	
↑		TURNER, D., "E-Mail to C. Guthrie re: Dielectric Constants from Trans Tech", <u>Guthrie Exhibit 2168, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 7, 2000), 1 pg.</u>	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie (attaching Lamp Plasma Schedule)", <u>Guthrie Exhibit 2197, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 3 pgs.</u>	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie re: Wavelength Project List (attaching Updated Contact List)", <u>Guthrie Exhibit 2159, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 6, 2000), 3 pgs.</u>	
		TURNER, D., et al., "E-Mail to D. Wilson, et al. Re: DRI Plasma Lamp - Updated Address List", <u>Guthrie Exhibit 2152, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 24, 2000), 3 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior Re: DRI Tasks", <u>Guthrie Exhibit 2165, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 10, 2000), 2 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: Action Item List", <u>Guthrie Exhibit 2157, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 2 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: DRI Plasma Lamp Schedule Update", <u>Guthrie Exhibit 2156, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 28, 2000), 4 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: Proposal to Develop Plasma Lamp Exciter and Waveguide", <u>Guthrie Exhibit 2151, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 16, 2000), 8 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: DRI Plasma Lamp - Laser Bonding", <u>Guthrie Exhibit 2153, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 26, 2000), 2 pgs.</u>	
		TURNER, D., et al., "E-Mail to J. Chan, et al. Re: Waveguide Meeting", <u>Guthrie Exhibit 2195, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 2, 2000), 1 pg.</u>	
		TURNER, D., "E-Mail to W. Catlett", <u>Guthrie Exhibit 2160, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 17, 2000), 2 pgs.</u>	
✓		TURNER, D., et al., "E-Mail to W. Catlett Re: Lamp Project Budget", <u>Guthrie Exhibit 2194, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 1, 2000), 4 pgs.</u>	
2/		TURNER, D., "E-Mail to W. Catlett Re: Office/Lab and Deposit Account for Lamp Project", <u>Guthrie Exhibit 2193, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 31, 2000), 2 pgs.</u>	

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D		TURNER, D., "E-Mail to W. Catlett re: Luxim Waveguide Status Update", <u>Guthrie Exhibit 2158, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 6, 2000), 3 pgs.</u>	
2		TURNER, D., "E-Mail to W. Catlett re: Waveguide Lamp Status Report", <u>Guthrie Exhibit 2161, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 1, 2000), 2 pgs.</u>	
D		TURNER, D., "E-Mail to W. Catlett, et al. Re: Luxim Waveguide Status and Meeting", <u>Guthrie Exhibit 2196, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 26, 2000), 2 pgs.</u>	

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2J		"E-mail from Morgan to Pisano", Exhibit 2260, Guthrie v. Espiau, Patent Interference 105,393, (Oct. 8, 2007), 1 pg.	
↑		"Espiau Opposition 8 (to Guthrie's Miscellaneous Motion 8 to exclude evidence)", Guthrie v. Espiau, Patent Interference 105,393, (Oct. 24, 2007), 71 pgs.	
		"Guthrie Opposition 8 (opposing Espiau miscellaneous motion 8)", Guthrie v. Espiau, Patent Interference 105,393, (Oct. 24, 2007), 63 pgs.	
		"Guthrie's objections to Espiau's exhibits served with its motion 8", Exhibit 2254, Guthrie v. Espiau, Patent Interference 105,393, (Oct. 18, 2007), 6 pgs.	
		"Katie Marie Nunes Death Certificate", Exhibit 2258, Guthrie v. Espiau, Patent Interference 105,393, (July 10, 2000), 1 pg.	
		"Kearney to Pisano Letter", Re: Guthrie v. Espiau, (Oct. 19, 2007), 12 pgs.	
		"Modesto Bee Obituaries including Katie Marie Nunes", Exhibit 2257, Guthrie v. Espiau, Patent Interference 105,393, (July 10, 2000), 3 pgs.	
2J		"Nilssen et al v. Osram Sylvania et al", Exhibit 2259, Guthrie v. Espiau, Patent Interference 105,393, United States Court of Appeals for the Federal Circuit, (Oct. 10, 2007), 20 pgs.	

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2		"Second Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2256 Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 19, 2007), 5 pgs	
h		"Guthrie Fourth Declaration of Charles Guthrie", <u>Guthrie Exhibit 2255, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Oct. 19, 2007), 4 pg.	

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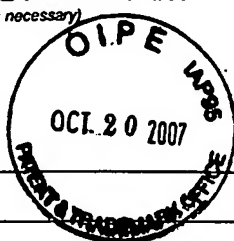
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Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	Vu, David Hung

Sheet 1 of 4

Attorney Docket No: 2447.001US2

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ¹
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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
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		"Combination Diagram", <u>Espiau Exhibit 1270, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Jul. 8, 2000), 1 pg.	
		"Decision - Interlocutory Motions - Bd.R. 125(b)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 20, 2007), 3 pgs.	
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		"Deposition of Ellen Thotus", <u>Espiau Exhibit 1259, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 17, 2007), 42 pgs.	
		"Deposition of Penny Ortega", <u>Espiau Exhibit 1261, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 18, 2007), 24 pgs.	
		"Errata to the Transcript of the September 17, 2007 Deposition of Ellen Thotus", <u>Espiau Exhibit 1264, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 26, 2007), 3 pgs.	
		"Errata to the Transcript of the September 18, 2007 Deposition of Benjamin Glenn", <u>Espiau Exhibit 1263, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 27, 2007), 3 pgs.	
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Sheet 2 of 4

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el		"Espiau Miscellaneous Motion 8 (to Exclude Exhibits 2240 and 2242, and to Strike Exhibit 2244 and Corresponding Portions of Guthrie Reply 7 and Guthrie Substitute Reply 7)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 11, 2007), 32 pgs.</u>	
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		"Espiau's Objections to Guthrie's Exhibits Served with its Reply 7", <u>Espiau Exhibit 1273, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 5, 2007), 12 pgs.</u>	
		"Faxing 2 page Application to Lease 646 University Ave.", <u>Espiau Exhibit 1255, Board of Patent Appeals and Interferences (Interference No. 105,393), To Vito Modugno From George Thotus, (Jul. 21, 2000), 1 pg.</u>	
		"Guthrie Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 27, 2007), 13 pgs.</u>	
		"Guthrie Declaration of Ray Paquette", <u>Guthrie Exhibit 2241, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 30, 2007), 22 pgs.</u>	
		"Guthrie Motion 8 (To Exclude Evidence)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 10, 2007), 49 pgs.</u>	
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Sheet 3 of 4

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DJ		"Guthrie's Objections to Espiau's Evidence", <u>Guthrie Exhibit 2250, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 14, 2007), 3 pgs.</u>	
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		"Guthrie's Objections to Espiau's Opposition 6 Evidence", <u>Guthrie Exhibit 2248, Board of Patent Appeals and Interferences (Interference No. 105,393), (Jun. 5, 2007), 13 pgs.</u>	
		"King Declaration E-Mail", <u>Espiau Exhibit 1272, Board of Patent Appeals and Interferences (Interference No. 105,393), From Robert Morgan to Nicola Pisano, (Oct. 1, 2007), 1 pg.</u>	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1267, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
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		"Sketch of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1266, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
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Application Number	10/771,788
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First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	Vu, David Hung

Sheet 4 of 4

Attorney Docket No: 2447.001US2

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	Application Number	10/771,788
	Filing Date	February 4, 2004
	First Named Inventor	Espiau, Frederick
	Group Art Unit	2821
	Examiner Name	David Hung Vu
Sheet 1 of 13		Attorney Docket No: 2447.001US2

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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D	US-6,980,021, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2212	12/27/2005	Srivastava, N. K., et al.	06/18/2004
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/771,788
	Filing Date	February 4, 2004
	First Named Inventor	Espiau, Frederick
	Group Art Unit	2821
	Examiner Name	David Hung Vu
Sheet 2 of 13		Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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1		"Declaration of Penny Ortega", <u>Espiau Exhibit 1244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
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Substitute Disclosure Statement Form (PTO-1449)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

Sheet 5 of 13

Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
2		"Dielectric Resonator Concept for Plasma Lamps", <u>Espiau Exhibit 1138, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 5 pgs.</u>	
↑		"DRI - Tenco Team Plasma Lamp Business Model", <u>Espiau Exhibit 1145, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
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		"E-mail to D. Turner et al", <u>Espiau Exhibit 1228, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 1 pg.</u>	
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Application Number	10/771,788
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Group Art Unit	2821
Examiner Name	David Hung Vu

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W		"Errata to the transcript of the August 9, 2007 of Floyd Pothoven", Guthrie Exhibit 2235, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.	
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		"Espiau Opposition 7 (to Guthrie Priority Motion 7)", Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 121 pgs.	
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		"Experiment 1: Cavity Resonator, School of Engineering and Applied Science", Espiau Exhibit 1026, Board of Patent Appeals and Interferences (Interference No. 105,393), (Winter, 1995), 5 pgs.	
		"Experiment 3: Cavity Resonator, School of Engineering and Applied Science", Espiau Exhibit 1025, Board of Patent Appeals and Interferences (Interference No. 105,393), (October 24, 1990), 15 pgs.	
		"Fifth Declaration of David M. Pozar", Espiau Exhibit 1183, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 17 pgs.	
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		"FWH of Provisional Application Serial No. 60/222,028 for Plasma Lamp", Espiau Exhibit 1012, Board of Patent Appeals and Interferences (Interference No. 105,393), 28 pgs.	
		"Graph With Handwritten Notes", Espiau Exhibit 1155, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 3 pgs.	
		"Guthrie Exhibit List", Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 13 pgs.	
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✓		"Handwritten Notes re: Discussion with Wayne, Ingmar, David and Chan", Espiau Exhibit 1207, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.	
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D		"In Re Digital Reflections, Inc. - Chapter 7 Bankruptcy", <u>Espiau Exhibit 1243, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 28, 2002), 57 pgs.</u>	
		"Invoice relating to DRI Plasma Lamp Project", <u>Exhibit 1219, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2000), 3 pgs.</u>	
		"IPLDWC Design I Drawings", <u>Espiau Exhibit 1180, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 14, 2000), 2 pgs.</u>	
		"Luxim Corporation Project Summit", <u>Guthrie Exhibit 2201, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 17, 2007), 20 pgs.</u>	
		"MasterCard Statement - TravelersBank", <u>Espiau Exhibit 1027, Board of Patent Appeals and Interferences (Interference No. 105,393), (April, 2000), 1 pg.</u>	
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		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1189, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 28, 2000), 2 pgs.</u>	
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		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1137, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 3 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1169, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 12, 2000), 1 pg.</u>	
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		"Notebook Pages from Yian Chang", <u>Espiau Exhibit 1126, Board of Patent Appeals and Interferences (Interference No. 105,393), (August, 2000), 57 pgs.</u>	
		"Notes", <u>Espiau Exhibit 1229, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 2 pgs.</u>	
		"Notes from Meeting with Joshi, Turner, Espiau regarding Review of Samples", <u>Espiau Exhibit 1209, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 2 pgs.</u>	
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Group Art Unit	2821
Examiner Name	David Hung Vu

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		"Photograph", <u>Espiau Exhibit 1222, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
		"Photograph", <u>Espiau Exhibit 1221, Board of Patent Appeals and Interferences(Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
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Application Number	10/771,788
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First Named Inventor	Espiau, Frederick
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Examiner Name	David Hung Vu

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

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Attorney Docket No: 2447.001US2

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>W</i>		MULLEN, D. , "E-Mail to D. Turner re: DRI Meeting", <u>Espiau Exhibit 1139, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (April 20, 2000),1 pg.	
<i>↑</i>		MULLEN, D. , "E-Mail to Joshi, et al. re: First Pass Thermal Model of Lamp Housing (attaching plasma lamp geometry)", <u>Espiau Exhibit 1151, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (May 1, 2000),2 pgs.	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1250, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , From Chapter 2,(2005),10 pgs.	
		POZAR, DAVID M., "Microwave Engineering, Third Edition", <u>Espiau Exhibit 1251, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , Chapter 5 - Impedance Matching and Tuning,(2005),46 pgs.	
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<i>↓</i>		TURNER, D. , "E-Mail to C. Joshi, et al. re: First Dielectric Donut Tests", <u>Espiau Exhibit 1165, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (June 8, 2000),1 pg.	
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2/		TURNER, D. , "E-Mail to S. Broucher re: DRI Plasma Lamp Schedule Update", <u>Espiau Exhibit 1147, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 28, 2000), 1 pg.</u>	
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		TURNER, D. , "Memorandum to G. Prior re: Tenco Proposal to Develop a Plasma Lamp Excitor and Waveguide", <u>Espiau Exhibit 1134, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 14, 2000), 7 pgs.</u>	
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D		TURNER, D. , "Memorandum to T. Alton re: Issuing Purchase Order to Kyocera", <u>Espiau Exhibit 1172, Board of Patent Appeals and Interferences(Interference No. 105,393), (June 22, 2000),5 pgs.</u>	
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